

Normal Operators on Real Inner Product Spaces

Undergraduate Texts in Mathematics

UTM

Sheldon Axler


Linear Algebra Done Right

Third Edition

Apollonius's Identity

$$a^2 + b^2 = \frac{1}{2}c^2 + 2d^2$$



 Springer

Notation

- \mathbf{F} denotes either \mathbf{R} or \mathbf{C} .
- V denotes a finite-dimensional nonzero inner product space over \mathbf{F} .

Normal but not self-adjoint operators

Suppose $\dim V = 2$, $\mathbf{F} = \mathbf{R}$, and $T \in \mathcal{L}(V)$. Then the following are equivalent:

- (a) T is normal but not self-adjoint.
- (b) The matrix of T with respect to every orthonormal basis of V has the form

$$\begin{pmatrix} a & -b \\ b & a \end{pmatrix},$$

with $b \neq 0$.

- (c) The matrix of T with respect to some orthonormal basis of V has the form

$$\begin{pmatrix} a & -b \\ b & a \end{pmatrix},$$

with $b > 0$.

Normal operators and invariant subspaces

Suppose $T \in \mathcal{L}(V)$ is normal and U is a subspace of V that is invariant under T . Then

- (a) U^\perp is invariant under T ;
- (b) U is invariant under T^* ;
- (c) $(T|_U)^* = (T^*)|_U$;
- (d) $T|_U \in \mathcal{L}(U)$ and $T|_{U^\perp} \in \mathcal{L}(U^\perp)$ are normal operators.

Normal Operators and Invariant Subspaces

Proof Let e_1, \dots, e_m be an orthonormal basis of U .

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Proof Let e_1, \dots, e_m be an orthonormal basis of U . **Extend to an orthonormal basis $e_1, \dots, e_m, f_1, \dots, f_n$ of V .**

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$$\mathcal{M}(T) = \begin{matrix} & e_1 & \dots & e_m & f_1 & \dots & f_n \\ \begin{matrix} e_1 \\ \vdots \\ e_m \\ f_1 \\ \vdots \\ f_n \end{matrix} & & & & & & \\ & & A & & & B & \\ & & & & 0 & & C \end{matrix}.$$

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Because T is normal,

$$\sum_{j=1}^m \|Te_j\|^2 = \sum_{j=1}^m \|T^*e_j\|^2.$$

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$$\sum_{j=1}^m \|Te_j\|^2 = \sum_{j=1}^m \|T^*e_j\|^2.$$

Thus B is the matrix of all 0's, **proving (a)**.

Characterization of normal operators when $F = \mathbf{R}$

Suppose V is a real inner product space and $T \in \mathcal{L}(V)$. Then the following are equivalent:

- (a) T is normal.
- (b) There is an orthonormal basis of V with respect to which T has a block diagonal matrix such that each block is a 1-by-1 matrix or a 2-by-2 matrix of the form

with $b > 0$.

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